

JC03 Rec'd PCT/PTO 01 APR 2005

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER  
14609-0037

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/530114

INTERNATIONAL APPLICATION NO.  
PCT/CH03/000231

INTERNATIONAL FILING DATE  
9 April 2003

PRIORITY DATE CLAIMED

**TITLE OF INVENTION**

Coil Form

**APPLICANT(S) FOR DO/EO/US**

Jurgen Pilniak et. al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☒ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☒ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: **Substitute specification**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold; margin-top: 10px;">10/530114</div>		INTERNATIONAL APPLICATION NO. PCT/CH03/000231		ATTORNEY'S DOCKET NUMBER 14609-0037	
The following fees have been submitted 21. <input checked="" type="checkbox"/> Basic national fee..... \$300				CALCULATIONS \$ 300	PTO USE ONLY
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100 All other situations..... \$200				\$ 200	
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500				\$ 400	
TOTAL OF 21, 22 and 23 =				\$ 900	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$ 0	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$ 130	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	20 - 20 =	0	x \$ 50	\$ 0	
Independent claims	2 - 3 =	0	x \$200	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$ 360	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,390	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 1,390	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$ 0	
TOTAL NATIONAL FEE =				\$ 1,390	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$ 1,390	
				Amount to be refunded:	\$
				Amount to be charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,390</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>070135</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO: Gallagher & Kennedy, P.A. 2575 E. Camelback Rd. Phoenix, Arizona 85016-4240			<div style="font-size: 1.5em; margin-bottom: 5px;"> </div> SIGNATURE Kaare D. Larson NAME 51,920 REGISTRATION NUMBER		

JC13 Rec'd PCT/PTO 01 APR 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Pilniak et al.  
Serial No.: to be assigned  
Filing Date: herewith  
Int'l Application No.: PCT/CH03/000231  
Int'l Filing Date: 9 April 2003  
Int'l Priority Date: 1 October 2002  
Title: **COIL FORM**

**CERTIFICATE OF MAILING BY EXPRESS MAIL**  
**"Express Mail" Mail Label Number EV604503469US**

Mail Stop PCT  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that the following correspondence is being deposited in the United States Postal Service as Express Mail in an envelope addressed as shown above:

1. Transmittal Letter to the U.S. Designated/Elected Office (2 pages);
2. Preliminary Amendment (7 pages);
3. Specification and Abstract - version with markings to show changes (17 pages);
4. Specification and Abstract - clean version (17 pages);
5. Published International application (in duplicate) (35 pages);
6. Int'l Search Report dated November 13, 2003 (9 pages);
7. Invitation to Restrict or Pay Additional Fees dated November 22, 2004 (2 pages);
8. Response to Restrict or Pay Additional Fees dated December 22, 2004 (8 pages);
9. Int'l Preliminary Examination Report ((11 pages);
10. Combined Declaration and Power of Attorney (3 pages);
11. IDS (3 pages), form 1449 (2 pages) and copies of 12 references;
12. Check for \$1,390.00; and
13. A return receipt postcard;

on the date shown below:

April 1, 2005  
Date

Jean LaCroix  
Jean LaCroix

Gallagher & Kennedy, P.A.  
Attorneys at Law  
2575 East Camelback Road  
Phoenix, AZ 85016-9225  
Tel. No. (602) 530-8088